



PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

E.A.O.
3/6/03

#13

not in compliance
with
1.97c
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In re Application of:

CHANG, et al.

Serial No. 09/814,403

Filed: March 22, 2001

For: WATER-DISPERSIBLE, CATIONIC
POLYMERS, A METHOD OF MAKING
SAME AND ITEMS USING SAME

Art Unit: 1713

Examiner: Donald R. Wilson

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FEB 24 2003
TC 1700

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, DC 20231


Sir:

The citation of information on the attached Form PTO/SB/08A, "List of Art Cited by Applicant" is made pursuant to 37 C.F.R. §§ 1.97 and 1.98. A copy of the listed items is enclosed.

Certificate

It is hereby certified, under Rule 97(e)(1), that each item of information contained in the accompanying Supplemental Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application

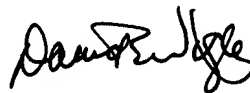
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC, 20231, on February 13, 2003.


David E. Wigley Reg. No. 52,362

not more than three months prior to the filing of the statement. A copy of the International Search Report is also attached.

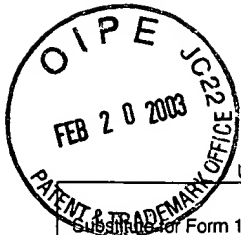
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Respectfully submitted,



By: David E. Wigley
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Our Docket: 44040-256047 (11302-1190)



#13

PTO/SB/08A (08-00)

Approved for use through 10/31/2002 OMB 0651-0031

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Substitute for Form 1449/A/PTO				Comple ¹ if Kn ² wn ³	
				Application Number	09/814,403
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Filing Date	March 22, 2001
				First Named Inv ⁴ ntor	Chang et al.
				Group Art Unit	1713
				Examiner Name	Donald R. Wilson
Sheet	1	of	1	Attorney Docket Number	11302-1190 (44040-256047)

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	1	4,970,260		Lundberg, R. D.	11-13-1990	

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FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴ (if known)				
	2	1	WO 00/39378	Kimberly-Clark Worldwide, Inc.	07-06-2000		
	3	1	WO 98/41577	Kimberly-Clark Worldwide, Inc.	09-24-1998		
	4	1	WO 98/52698	The Dow Chemical Company	11-26-1998		
	5	1	WO 98/29501	Kimberly-Clark Worldwide, Inc.	07-09-1998		
20	6	1	JP 10 204792	Seiki Kagaku Kogyo KK	08-04-1998		

OTHER INFORMATION - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²

Examiner Signature		Date Considered	
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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent document, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

* Abstract only submitted, which should be listed under other information along with source.